

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Ridgway et al.
Serial No. : 10/658,218
Filed : September 9, 2003
Title : ELECTROOPTIC MODULATORS AND WAVEGUIDE DEVICES
INCORPORATING THE SAME
Docket : OPI 0008 PA/41004.16
Art Unit : TBD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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James E. Beyer

Reg. No. 39,564

Sir:

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UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application.

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The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted,
DINSMORE & SHOHL LLP

By



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number

10/658.218

Filing Date

September 9, 2003

First Named Inventor

Richard Ridgway

Art Unit

Examiner Name _____

Attorney Docket Number

OPI 0008 PA/41004.16

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

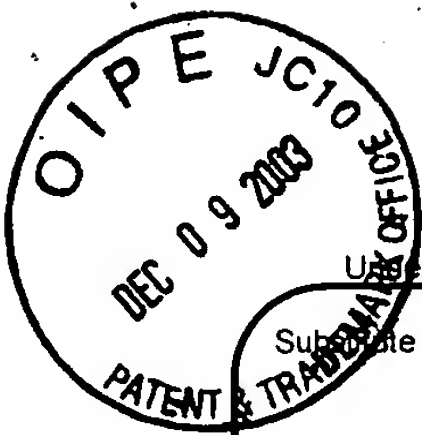
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		GB-A-2236402	04-03-1991	GEC-Marconi Ltd.		
		EP-0513919	11-19-1992	van der Tol, Johannes		

Examiner Signature		Date Considered	
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				Application Number	10/658,218
				Filing Date	September 9, 2003
				First Named Inventor	Richard Ridgway
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	OPI 0008 PA/41004.16

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		CHEN ET AL., High-Frequency Polymer Modulators with Integrated Finline Transitions and Low V, IEEE Photonics Technology Letters, Vol. 11, No. 1, January 1999, pg. 54-56	
		DR. H.F. BULTHUIS, Analysis of Impedance and Velocity Matched High-Speed Traveling Wave Electro-Optic Modulators, Concept to Volume b.v., April 29, 1998, Application note A1998002, pg. ii - 12	

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